

# Understanding RFID Counting Protocols

Binbin Chen<sup>#</sup>

Ziling Zhou<sup>^#</sup>

Haifeng Yu<sup>^</sup>

<sup>#</sup> Advanced Digital Sciences Center

<sup>^</sup> National University of Singapore

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# Many applications need counting

RFID technology  
enables  
large-scale counting

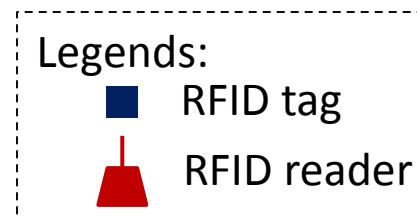
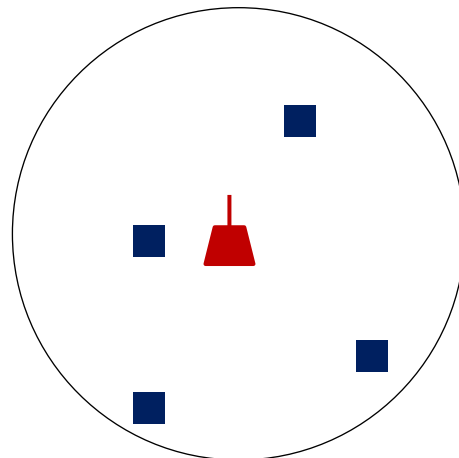
# RFID counting problem

(a simple single-set version)

- One reader and  $n$  tags
- They run a protocol to get an  $\hat{n} \approx n$ 
  - Getting the exact  $n$  is expensive  $\implies$  Randomization helps
- Guarantee:  $|\hat{n} - n| \leq \varepsilon n$  holds (say, with 90% probability)
  - Here,  $\varepsilon$  bounds the relative error

See paper for generalizations:

e.g., a reader moves around to extend coverage



# Existing RFID counting research

- An impressive arsenal of techniques

Protocol	Venue
UPE	MobiCom'06
EZB	INFOCOM'07
LOF	PerCom'08 / TPDS'11
(Enhanced) FNEB	INFOCOM'10
PET	ICDCS'11 / TMC'12
ART	MobiCom'12
ZOE	INFOCOM'13

- The central design goal:  
Reduce *time overhead* & provide the guarantee

# Call for fundamental understanding

- Diverse views on which design aspects are important

Should we combine all these techniques despite the resulting complexity?

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Protocol

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UPE

EZB

LOF

(Enhanced) FNEB

PET

ART

ZOE

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Novel statistical gauges

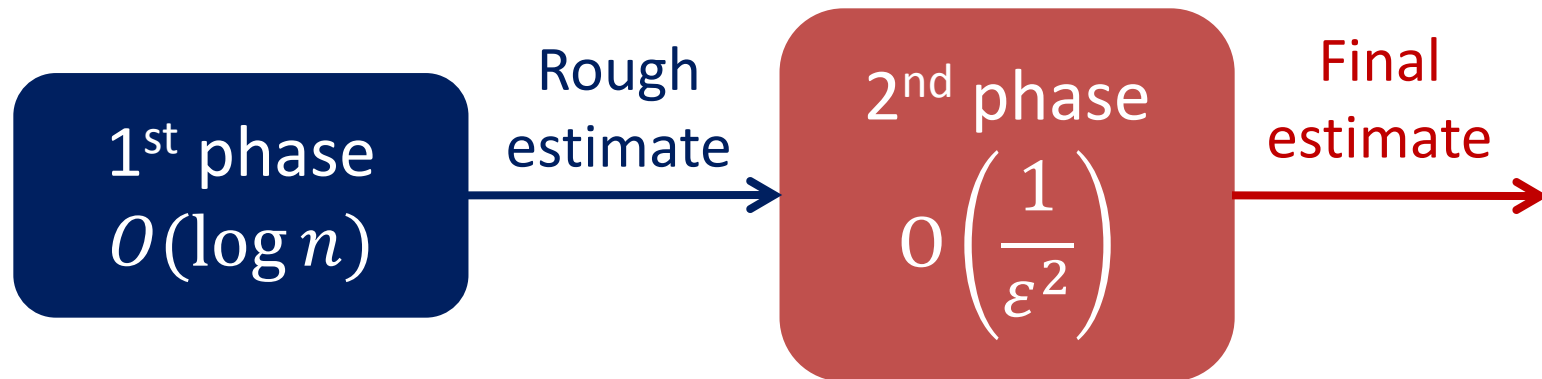
Optimization of parameters

Adaptive iterations

.....

# Our central thesis for RFID counting

The *overlooked* key is to have two phases:



Other techniques proposed in the literature  
are less important than originally thought

Note:

- the  $\log n$  term can be reduced to a  $\log \log n$  term

# The inspiration

- Novel **lower bounds** for RFID counting protocols:

*(Rough) Theorem:*

For single-set RFID counting, no protocol can estimate with  $< \varepsilon$  relative error while incurring  $o\left(\log \log n + \frac{1}{\varepsilon^2 \log \frac{1}{\varepsilon}}\right)$  overhead

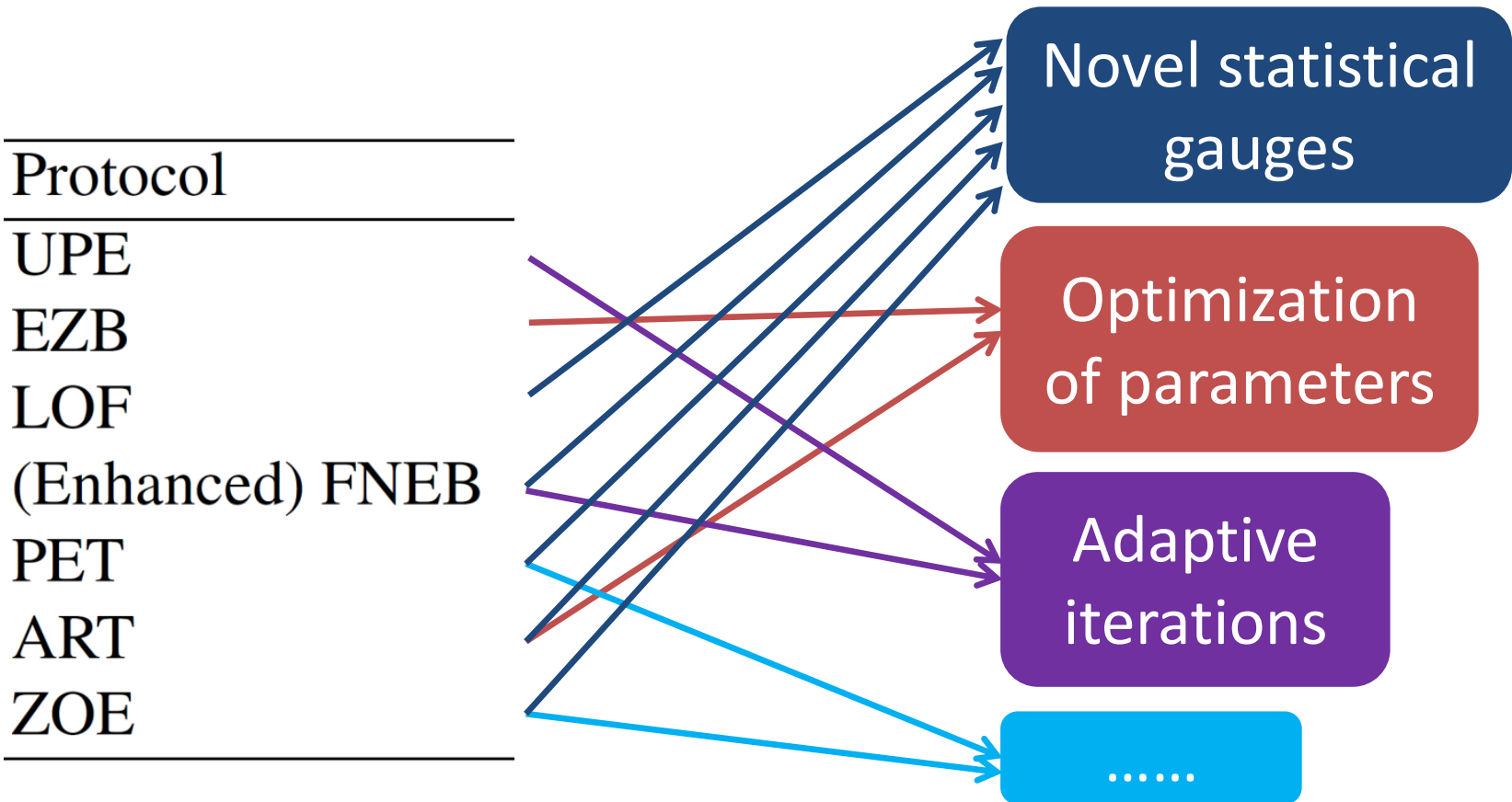
- Our proof leverages a recent breakthrough result in communication complexity [Chakrabarti & Regev, STOC'11]
  - They proved GHD (Gap Hamming Distance) problem is hard
  - We connect RFID counting and GHD by a novel reduction
  - Details in paper

# Validating our thesis

- Examine the importance of other techniques
- Apply our thesis to design better protocols



# Existing literature: diverse views about what are important



# Let us step back, and take an asymptotic view of existing protocols

Such a comparison has not been done before

# Two distinct groups

EZB

LOF

*Enhanced* FNEB

PET

ART

ZOE

Multiplicative overhead:

$$O\left(\log n \frac{1}{\varepsilon^2}\right)$$

Additive overhead:

$$O\left(\log n + \frac{1}{\varepsilon^2}\right)$$

Note:

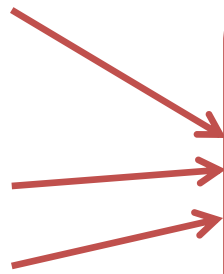
- Some protocols reduce the  $\log n$  term to a  $\log \log n$  term

# Additive-overhead protocols are better

*Enhanced* FNEB

ART

ZOE

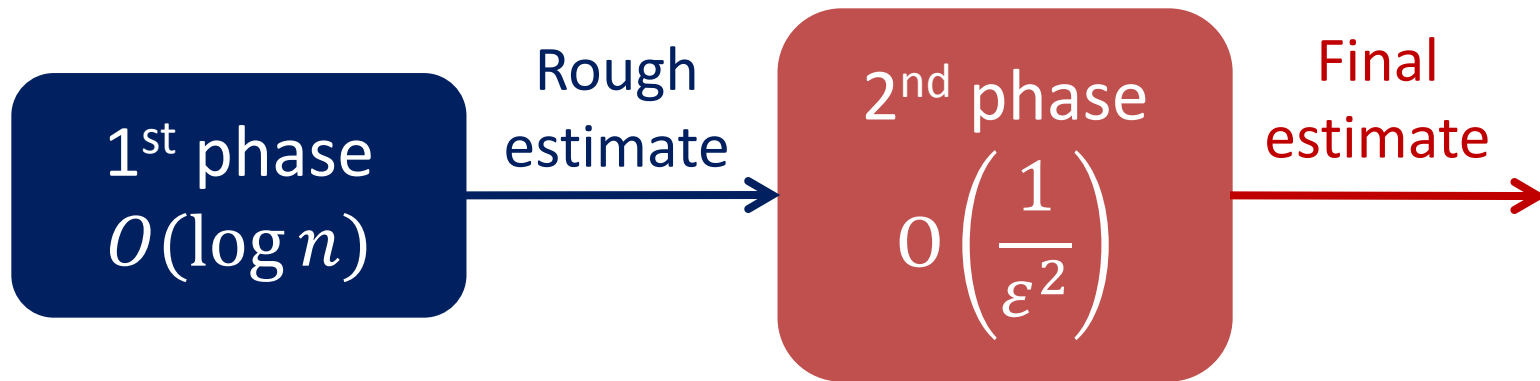


Additive overhead:

$$O\left(\frac{1}{\varepsilon^2} + \log n\right)$$

# How they achieve additive overhead?

- Despite their many differences (as originally emphasized), they all have a two-phase design:



# Our thesis has not been discovered

<i>Enhanced FNEB ('10)</i>	Use of a <b>novel gauge</b> : the indices of the first non-empty slots
<i>ART ('12)</i>	Use of a <b>novel gauge</b> : the average run length of non-empty slots
<i>ZOE ('13)</i>	i) Unique design about the <b>gauge</b> : Each trial has a single slot ii) Two-phase design

They also employ other interesting techniques:

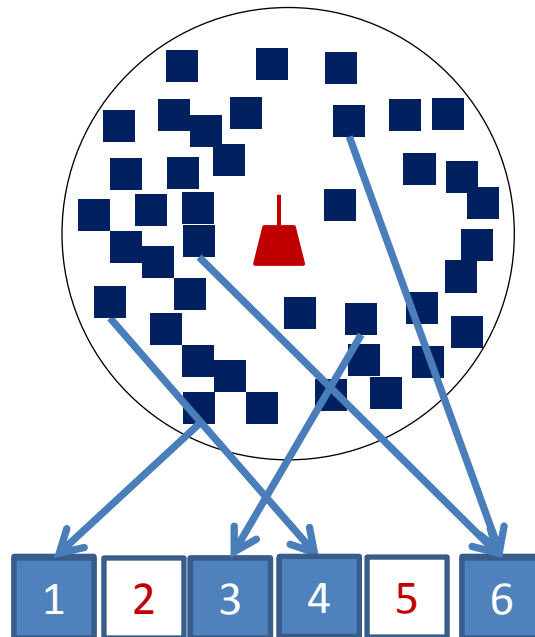
– involved optimizations, adaptive iterations ...

Are these other techniques important?

Let us focus on the *gauges*

# An old gauge of the early EZB ('07) protocol

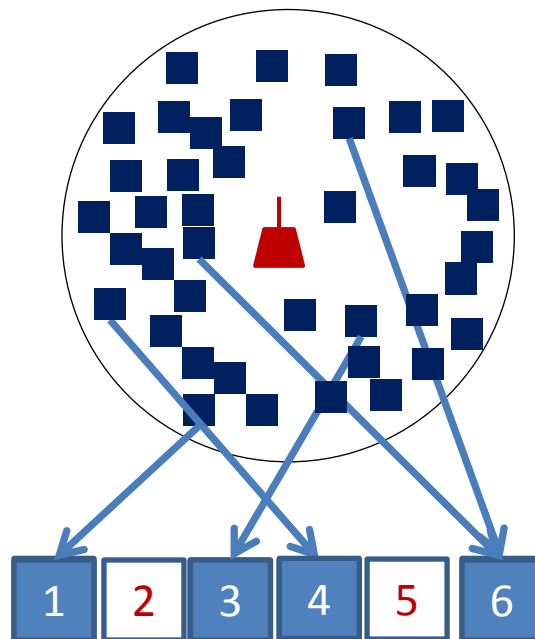
- # of empty slots
  - More empty slots  $\implies$  less tags



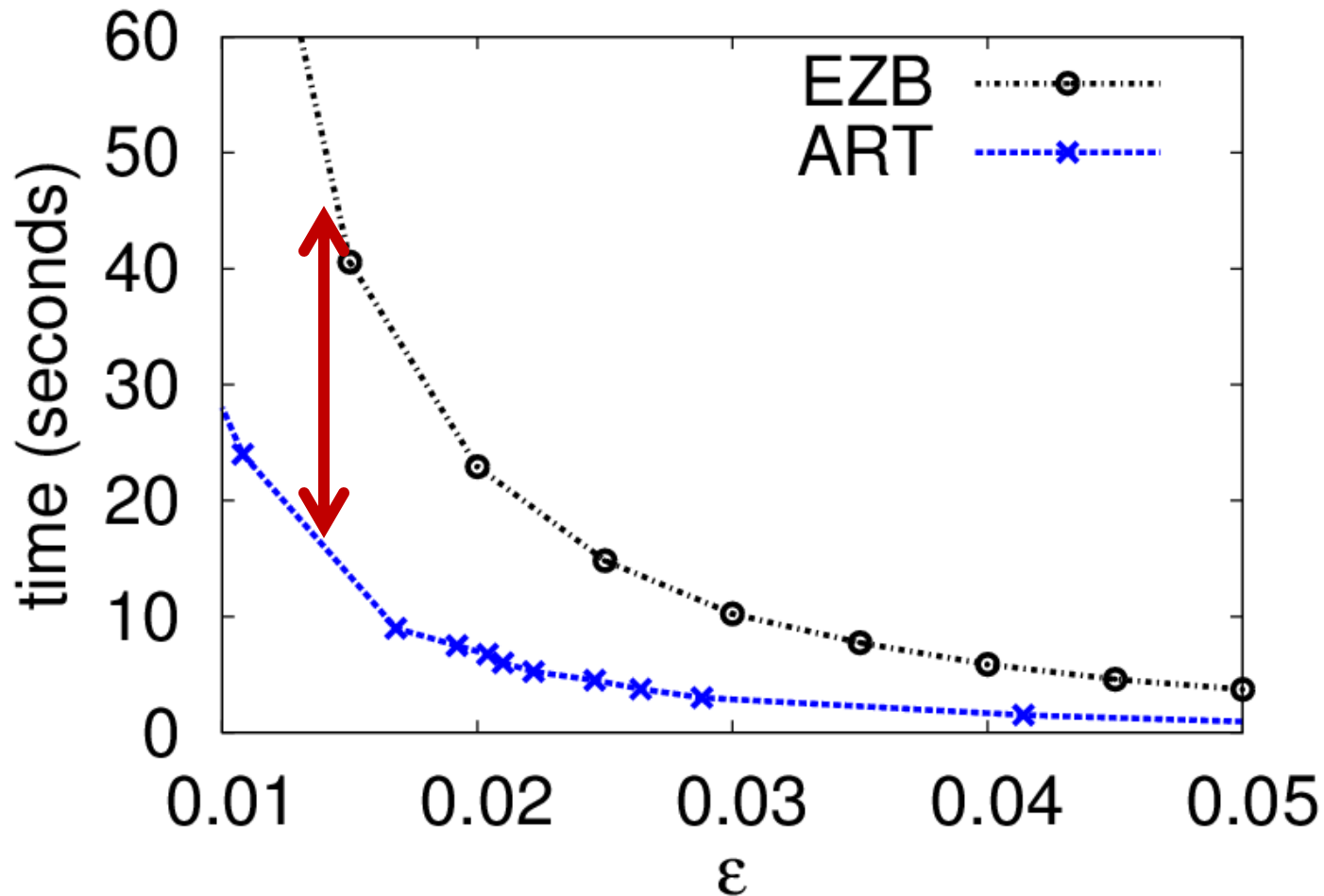


# The novel gauges

- **ART**: average run length of non-empty slots
  - In the example:  $(1+2+1)/3$
- **FNEB**: index of the first non-empty slot
- **ZOE**: still # of empty slots, but each slot is independent

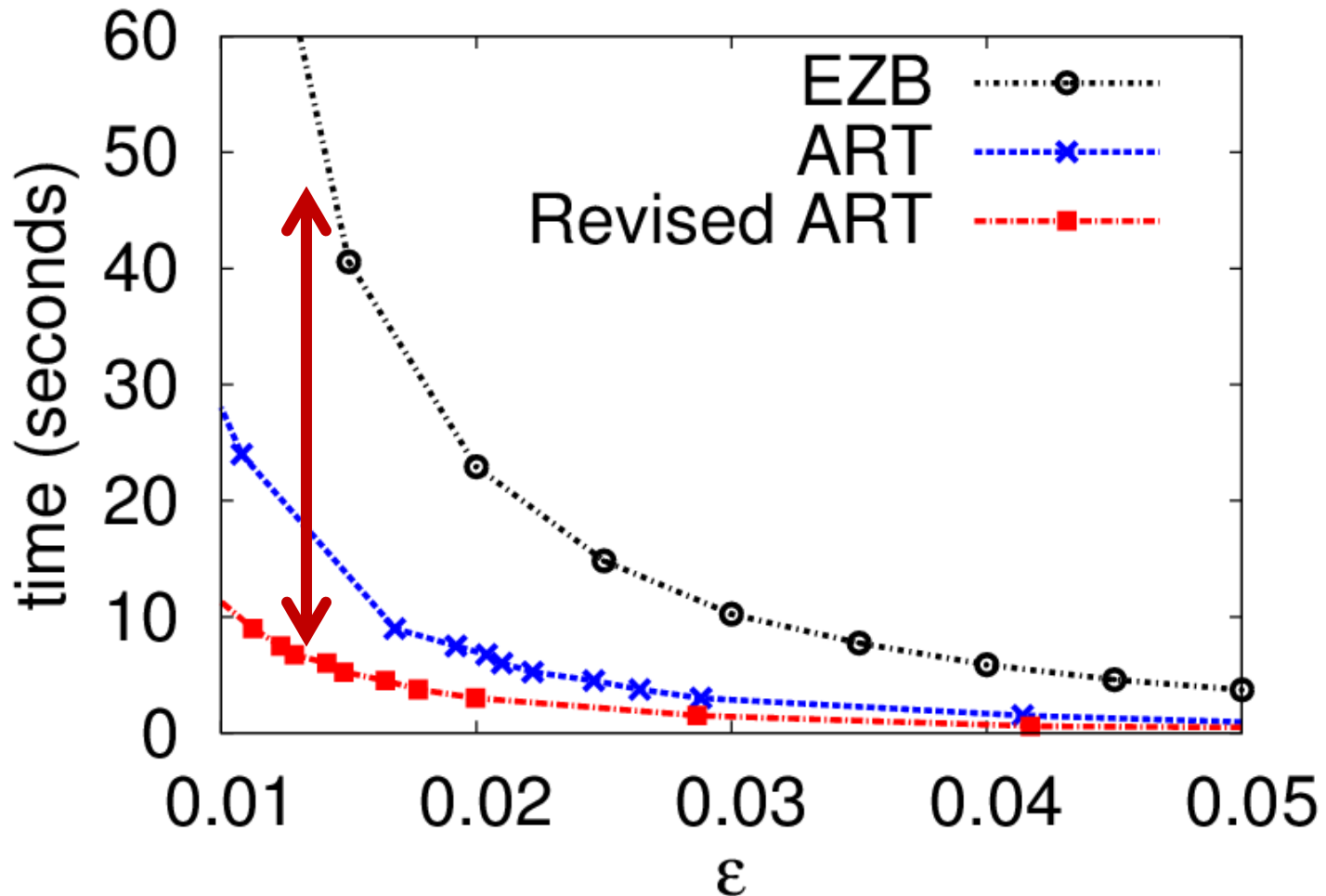


# Let us examine ART's ('12) performance gain (over the early EZB ('07) protocol)



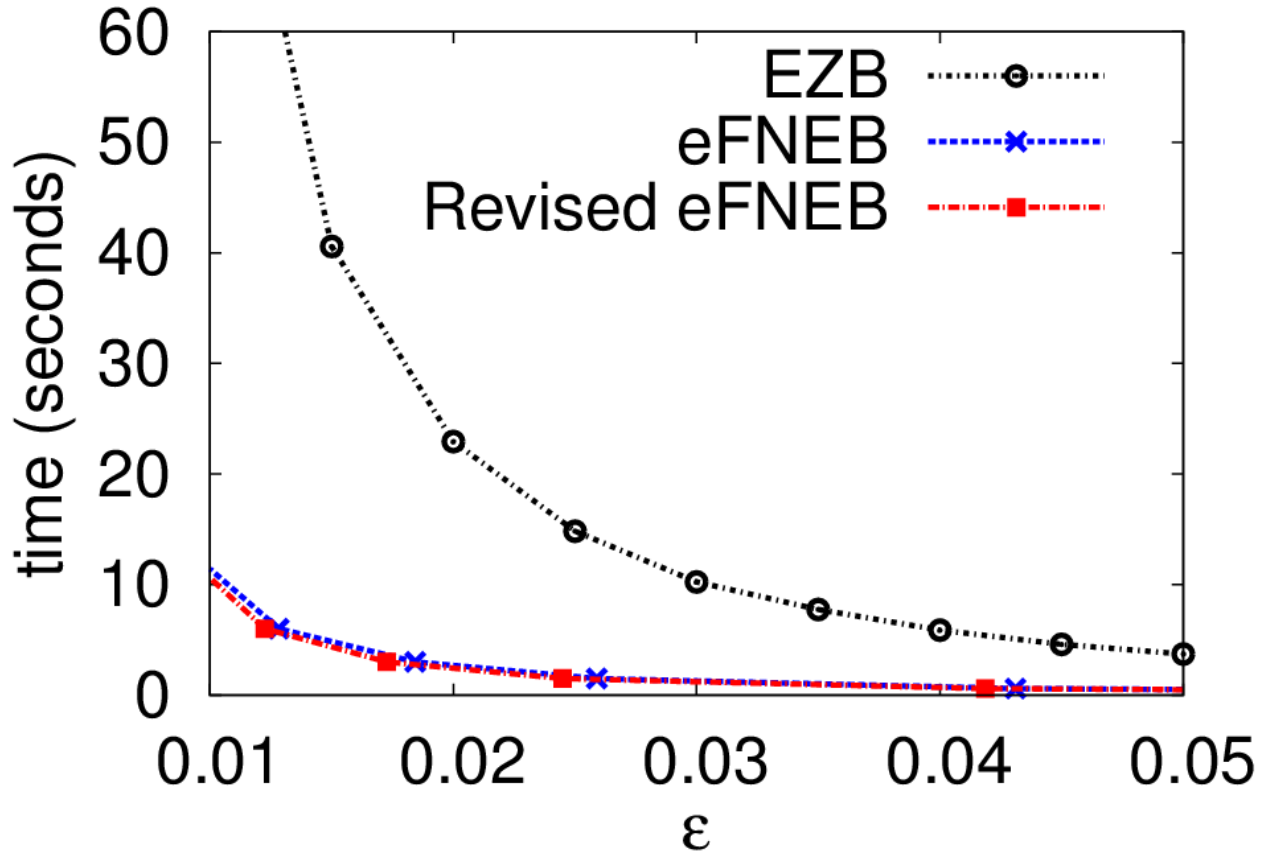
# Replace ART's ('12) gauge by the old EZB's ('07) gauge

We keep everything else unmodified



# Similarly ...

- FNEB's gauge seems not help



- Neither does ZOE's

# Validating our thesis

- Examine the importance of other techniques
- Apply our thesis to design better protocols

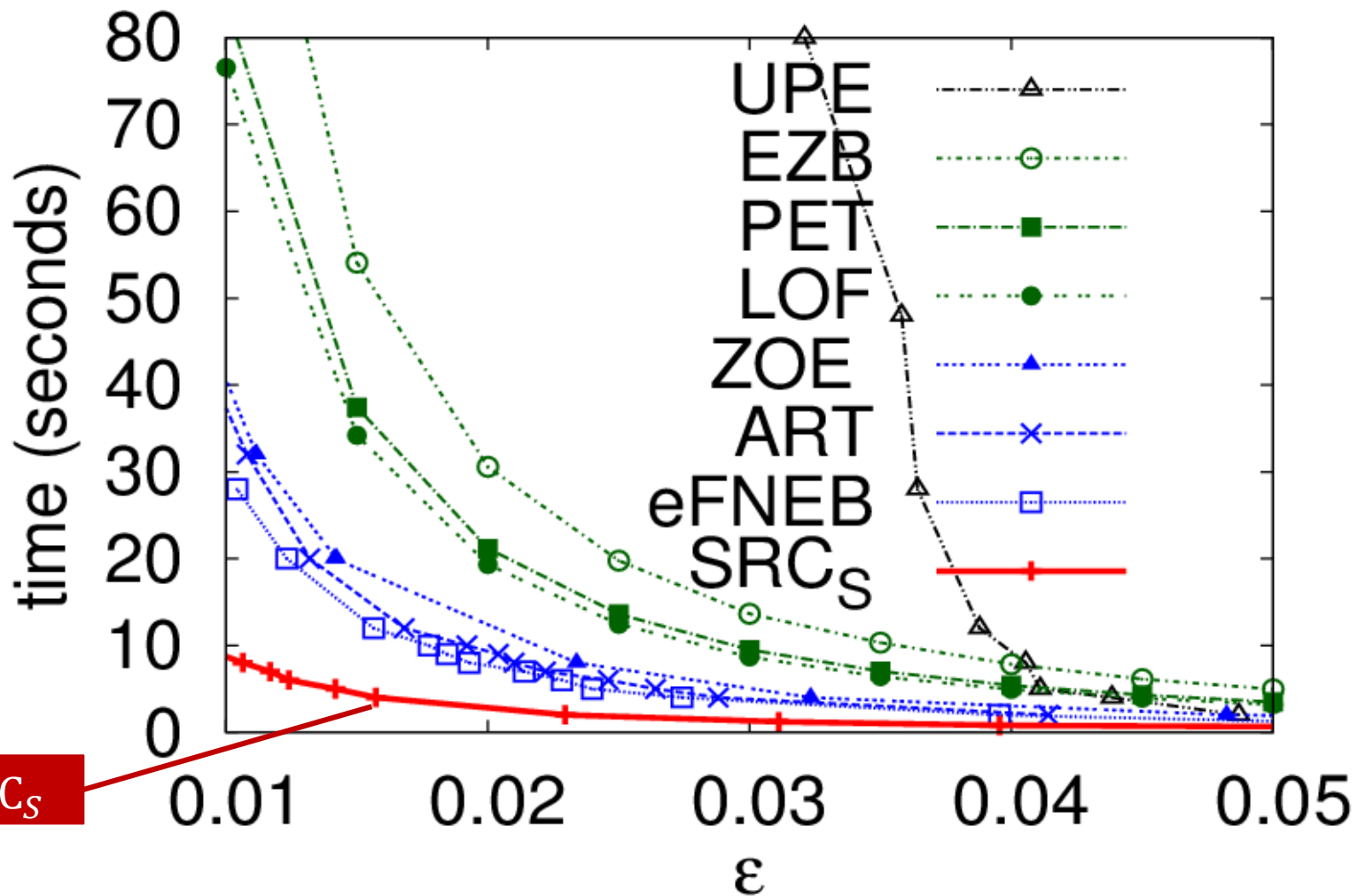
# $SRC_S$ : a Simple RFID Counting protocol for *single-set counting*

- The design of  $SRC_S$  is **solely** driven by our thesis:
  - It applies the 2-phase design
  - It uses simple & basic building blocks in all other aspects  
**we claim no novelty for these building blocks**

## $SRC_S$ pseudo-code:

- 1: Invoke a simple early protocol (LOF '08) to get a rough estimate  $\tilde{n}$ ;
- 2.1: calculate tag-responding probability according to  $\tilde{n}$ ;
- 2.2: Use a simple early gauge (EZB '07) to obtain the final estimate;

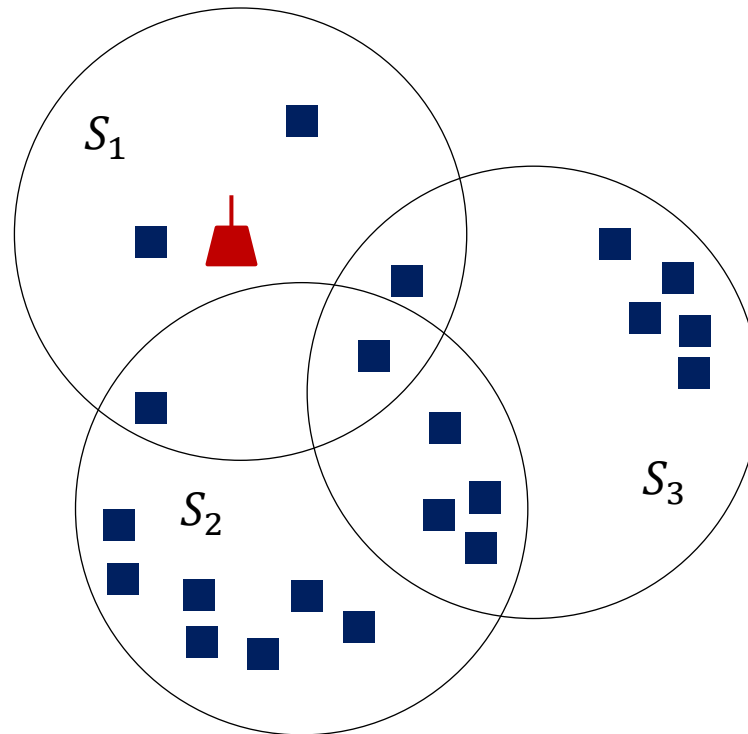
# $\text{SRC}_S$ is $\geq 100\%$ faster



Note: We have done extensive experiments under different settings  
Please see our paper for more details

# How about multiple-set RFID counting?

- Consider a reader sequentially visits multiple locations to count # of tags in a large space
  - Here  $n = |S_1 \cup S_2 \cup S_3|$ : the sets can overlap



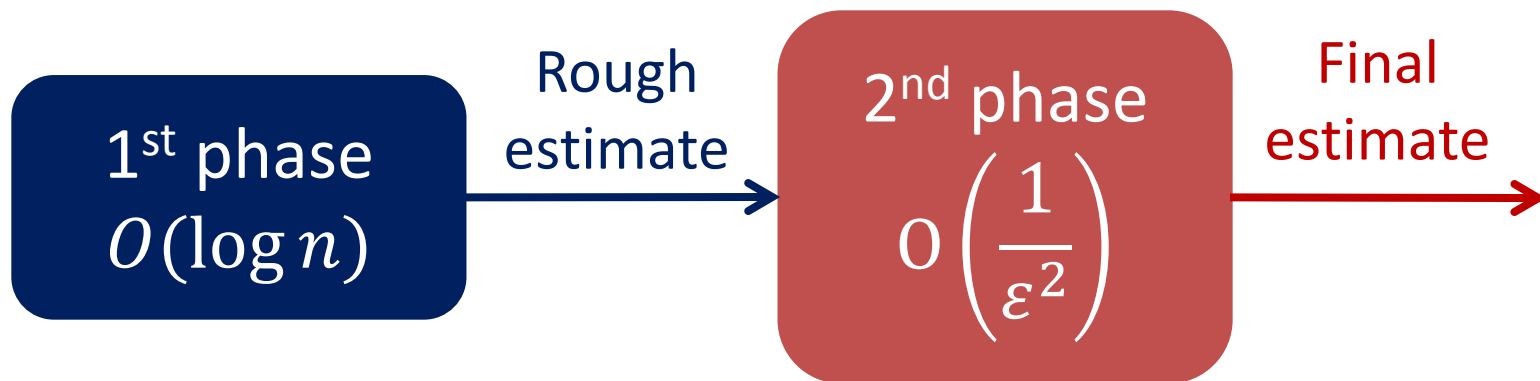


# Apply our thesis

- Unlike single-set case, no one happens to use 2 phase
  - All existing protocols incur multiplicative overhead
  - Our thesis hints that big improvement might be possible
- Applying our thesis needs to overcome a challenge
  - The reader has no rough estimate of  $n$  until the last location
- Our  $\text{SRC}_M$  protocol uses some interesting techniques to overcome the challenge
  - It achieves additive overhead, and is  $\geq 500\%$  faster
- **Knowing the thesis is critical**
  - It guides us to identify & focus on the key challenge

# Summary

- Inspired by our RFID counting lower bound results, we find the overlooked key is a 2-phase design



- All other techniques are less important
- Our thesis leads to better protocols